



DFW

PATENT
Attorney Docket No.: COOL-00800

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:) Group Art Unit: 3744
)
Girish Upadhyia et al.) Examiner: Ciric, Ljiljana V.
)
Serial No.: 10/698,180) **TRANSMITTAL LETTER**
)
Filed: October 30, 2003) 162 N. Wolfe Road
) Sunnyvale, CA 94086
) (408) 530-9700
For: **OPTIMAL SPREADER SYSTEM,**) Customer No. 28960
DEVICE AND METHOD FOR)
FLUID COOLED MICRO-SCALED)
HEAT EXCHANGE)

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Enclosed please find a Supplemental Information Disclosure Statement, a Certification pursuant to 37 C.F.R. § 1.97(e) for the Supplemental Information Disclosure Statement, and Form PTO-1449, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. **An originally executed duplicate of this transmittal is enclosed for this purpose.**

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: 10-16-07

By: Thomas B. Haverstock
Thomas B. Haverstock
Reg. No.: 32,571

Attorneys for Applicants

CERTIFICATE OF MAILING (37 C.F.R. § 1.6(a))
I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450.

HAVERSTOCK & OWENS LLP.

Date: 10-17-07 By: Alicia Ferrera



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Girish Upadhyia et al.) Examiner: Ciric, Ljiljana V.
Serial No.: 10/698,180) **SUPPLEMENTAL INFORMATION**
Filed: October 30, 2003) **DISCLOSURE STATEMENT**
For: **OPTIMAL SPREADER SYSTEM,**) 162 N. Wolfe Road
DEVICE AND METHOD FOR) Sunnyvale, CA 94086
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HEAT EXCHANGE) Customer No. 28960

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Alexandria, VA 22313

Sir:

The citation listed below, copy attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make this citation of official record in this application.

- U.S. Patent No. 6,084,178

This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of this citation constitutes prior art.

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: 10-16-07

By: Thomas B. Haverstock
Thomas B. Haverstock
Reg. No.: 32,571

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HAVERSTOCK & OWENS LLP.

Date: 10-17-07 By: Alicia Ferrera

Attorneys for Applicants



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Serial No.: 10/698,180)	<u>CERTIFICATION FOR</u>
)	<u>SUPPLEMENTAL INFORMATION</u>
Filed: October 30, 2003)	<u>DISCLOSURE STATEMENT UNDER 37</u>
)	<u>CFR § 1.97(e)</u>
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Sir:

This certification is being made for the Supplemental Information Disclosure Statement accompanying this certification.

Certification

I, the person signing below, hereby certify that the printed publication contained in the enclosed supplemental information disclosure statement were cited within an Foreign Official Action mailed on July 17, 2007. **Accordingly, I hereby certify that no reference contained within this supplemental information disclosure statement were cited in a communication from a foreign patent office in a related foreign application, and, to the knowledge of the person signing the statement, after making reasonable inquiry, no reference contained within this supplemental information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this supplemental information disclosure statement. 37 C.F.R. 1.97(e)(2).**

Identification of Person Making Certification

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: 10-16-07

By: Thomas B. Haverstock
Thomas B. Haverstock
Reg. No.: 32,571

Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFRs 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP.

Date: 10-17-07 By: Alicia Ferrara